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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			<b>Application Number</b>	New Application	
			<b>Filing Date</b>	Herewith	
			<b>First Named Inventor</b>	Dimitri E. Nikonov	
			<b>Group Art Unit</b>	Not yet assigned	
<b>Examiner Name</b>	Not yet assigned				
<b>Attorney Docket Number</b>	42390.P10601				
<b>Sheet</b>	1	of	1		

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U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
BR	6,031,659	Okiyama	2-29-2000	
BR	6,111,996	Thompson	8-29-2000	

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
BR	HATTORI, K. ET AL; Erbium-Doped Silica-Based Waveguide Amplifier Integrated with a 980/1530nm WDM Coupler; ELECTRONIC LETTERS; May 26, 1994; Vol. 30, No. 11	
BR	PARKER, MICHAEL C. ET AL.; Adaptive Chromatic Dispersion Controller Based on an Electro-Optically Chirped Arrayed-Waveguide Grating; Colchester, Essex, CO1 1HH, UK	
BR	SHMULOVICK, J. ET AL; Integrated Planar Waveguide Amplifier With 15dB net gain at 1550 nm; Lucent Technologies, Murray Hill, NJ	
BR	GHOSH, RUBY N. ET AL; 8-mW Threshold Er3+-Doped Planar Waveguide Amplifier; IEEE 1996	
BR	SHMULOVICH, J. ET AL; Erbium-Doped Planar Waveguide Amplifiers Integrated with Silica Waveguide Technology; Lucent Technologies; Murray Hill, NJ	

<b>Examiner Signature</b>		<b>Date Considered</b>	2/2003
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